

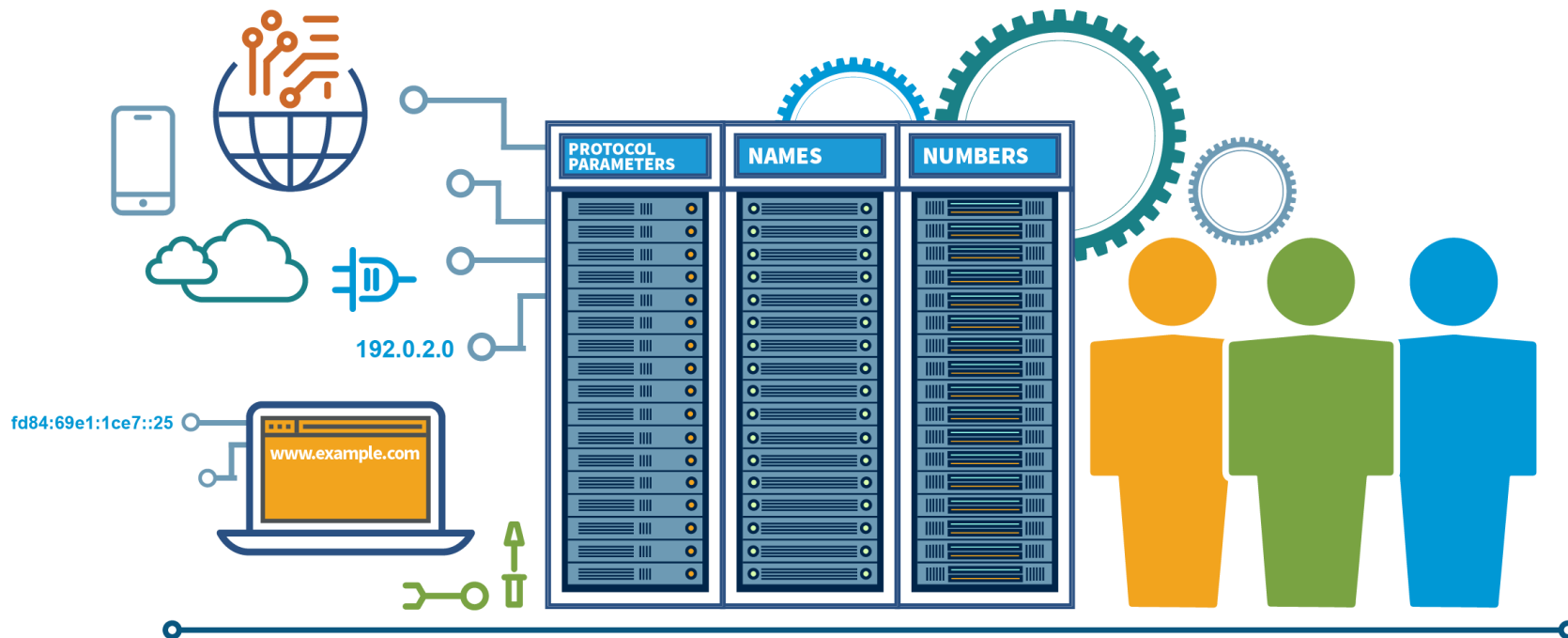


The multistakeholder approach to Internet governance – ICANN

Adam Peake | 28 March 2017

ICANN what do we do

**Coordinating with our partners,
we help make the Internet work.**



What is the ICANN multistakeholder model?

"Stakeholder" refers broadly to anyone who has an interest in the Internet

Within ICANN, stakeholders include:



**Large and small
businesses**



**Technical
community**



Civil society



Governments



**Researchers
and academics**

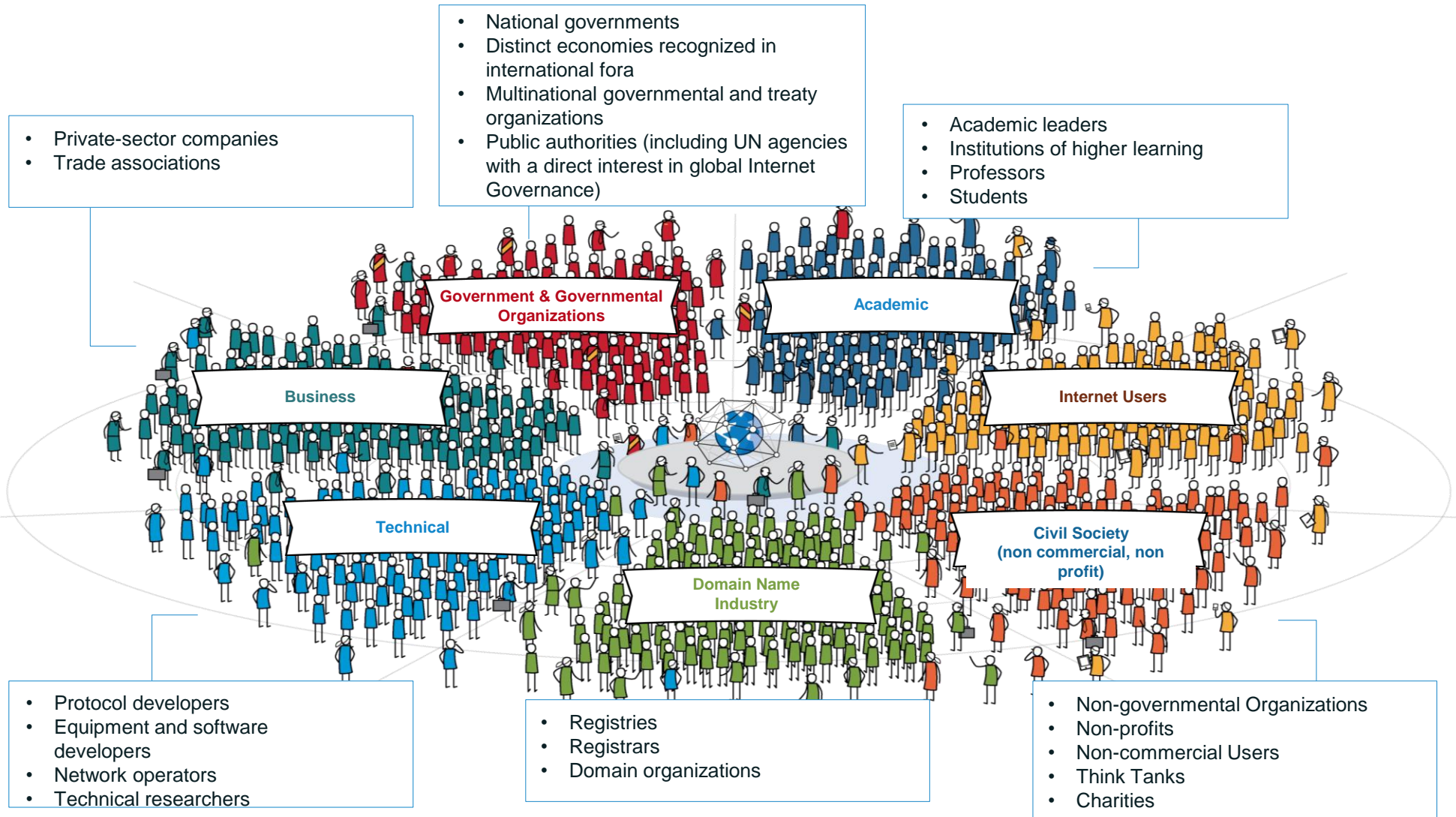


End users

The multistakeholder model functions on bottom-up consensus building which, by design, is resistant to capture due to the openness, diversity and equal division of authority among participants

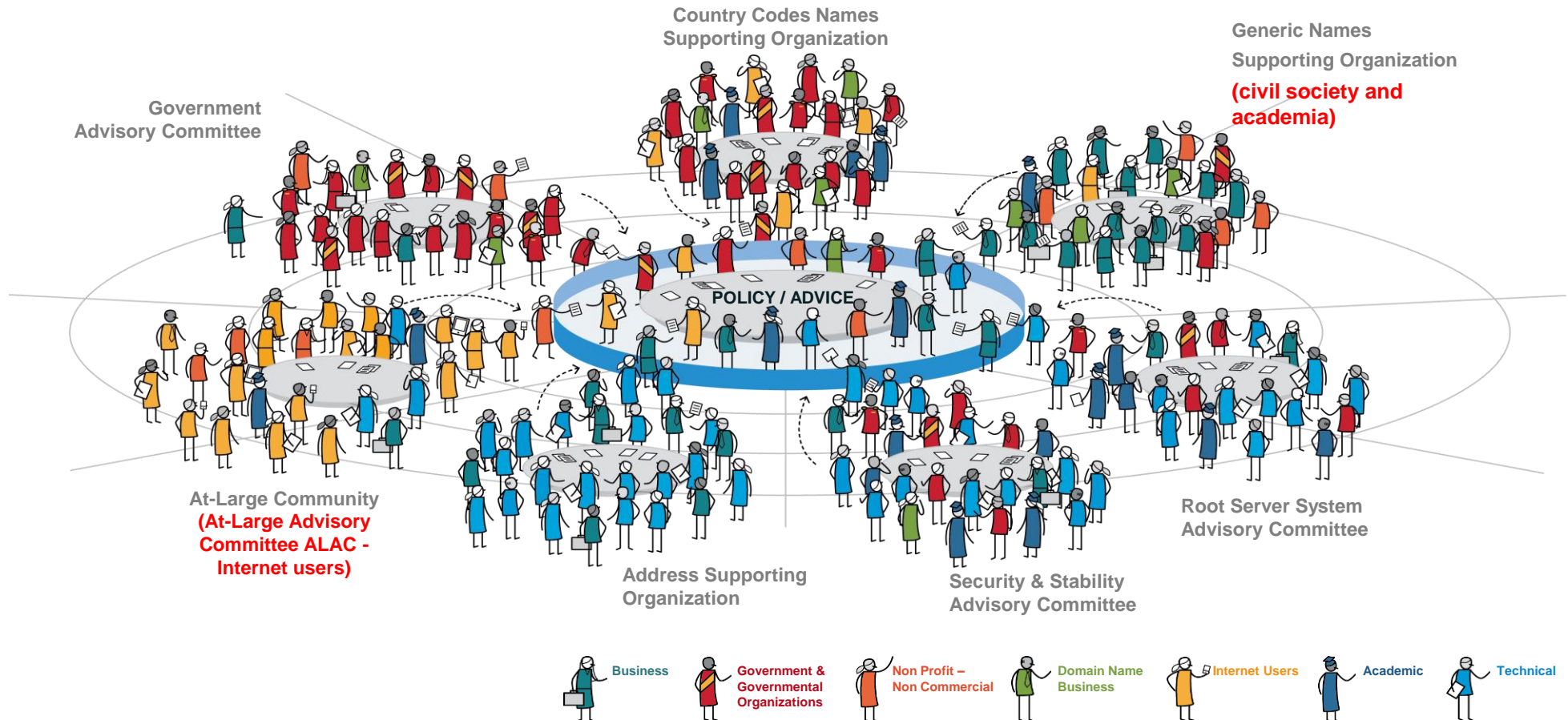
No single party has all the answers

ICANN's Global Multistakeholder Community



The ICANN Community At Work

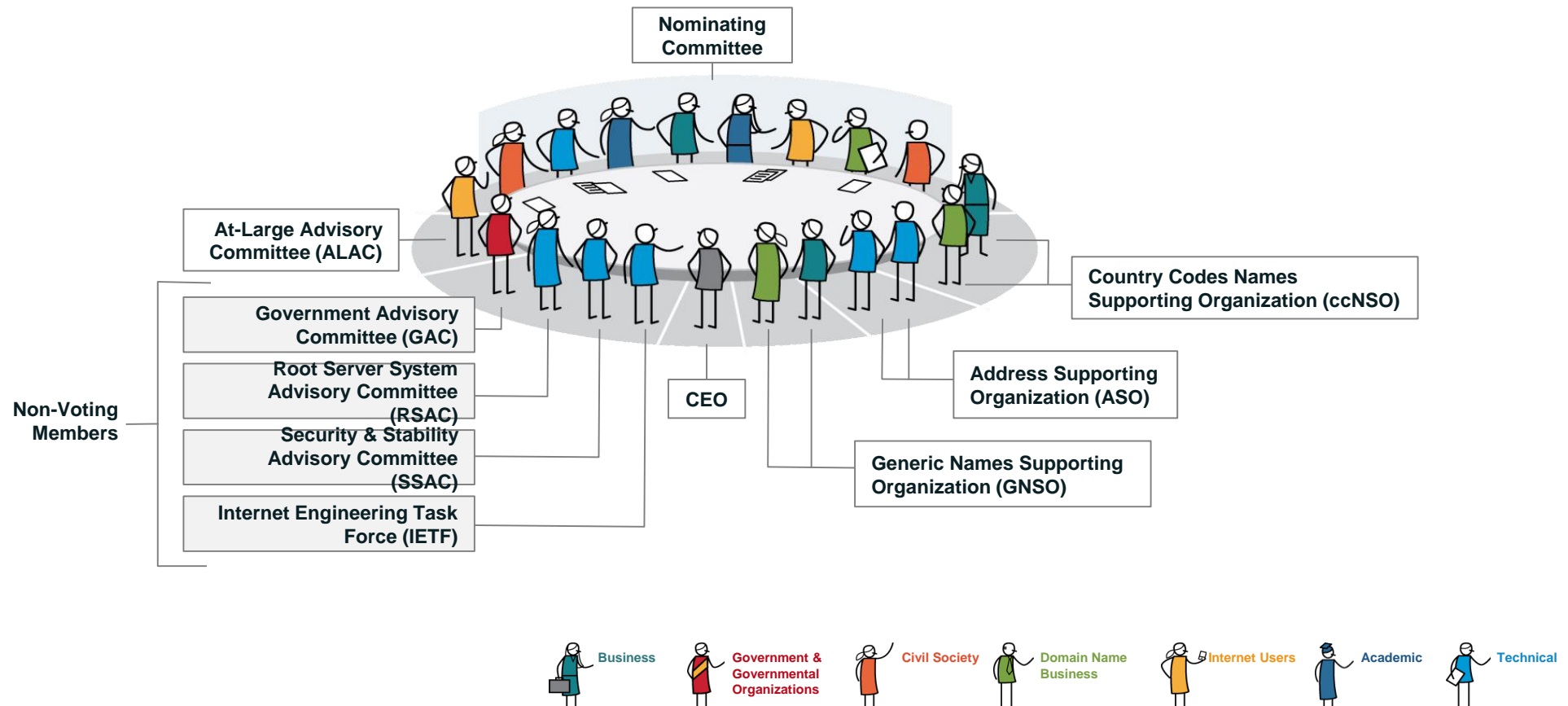
The Bottom-Up Multistakeholder Model



The ICANN Community At Work

ICANN Board of Directors

The ICANN Board is responsible for the oversight of the strategy and operations of ICANN, as well as consideration of policy recommendations arising out of the Supporting Organizations, including, as necessary, consideration of advice on those policy recommendations coming out of the Advisory Committees

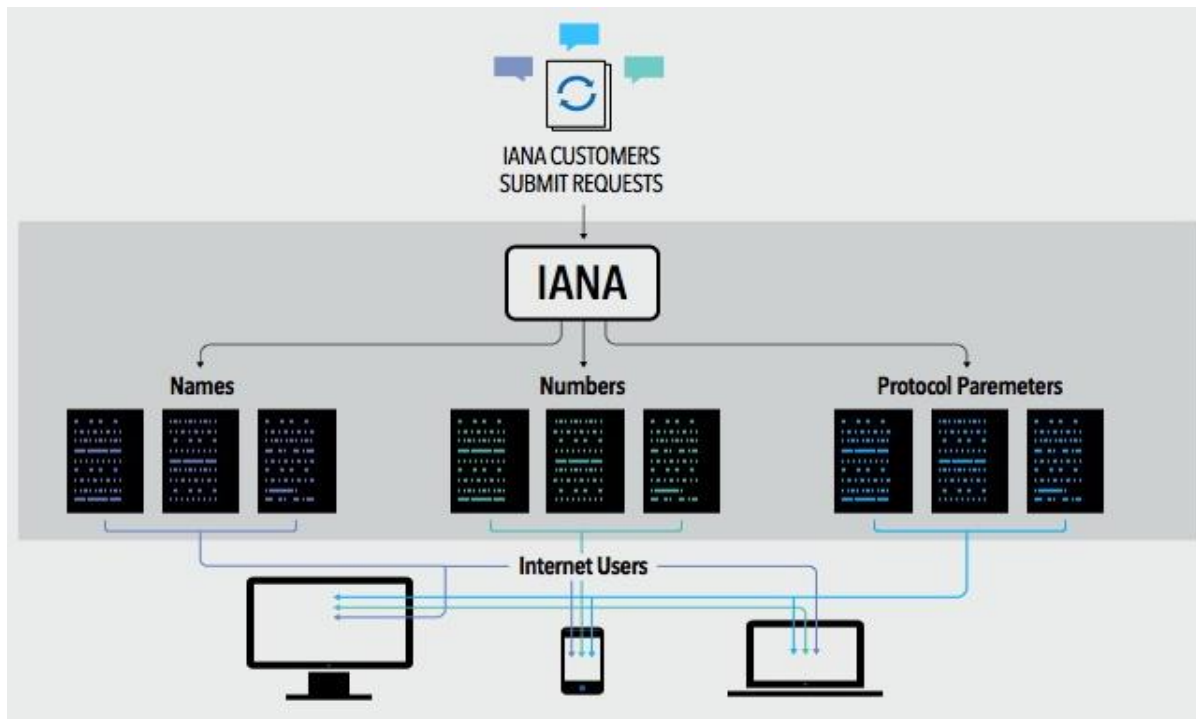




IANA Transition

What are the IANA Functions?

The IANA Functions evolved in support of the Internet Engineering Task Force, and initially funded via research projects supported by the U. S. Department of Defense, Advance Research Projects Agency.



These functions include:

- ⦿ The coordination of the assignment of technical Internet protocol parameters
- ⦿ The administration of certain responsibilities associated with Internet DNS Root zone management
- ⦿ The allocation of Internet IP addresses

ICANN was created to perform the IANA Functions and has done so pursuant to a no-cost contract with the Department of Commerce for over 15 years

Two Parallel Processes

The community developed two parallel processes:

IANA Stewardship Transition

Focused on delivering a proposal to transition the stewardship of the IANA functions to the multistakeholder community

Enhancing ICANN Accountability

Focused on ensuring that ICANN remains accountable in the absence of its historical contractual relationship with the U.S.

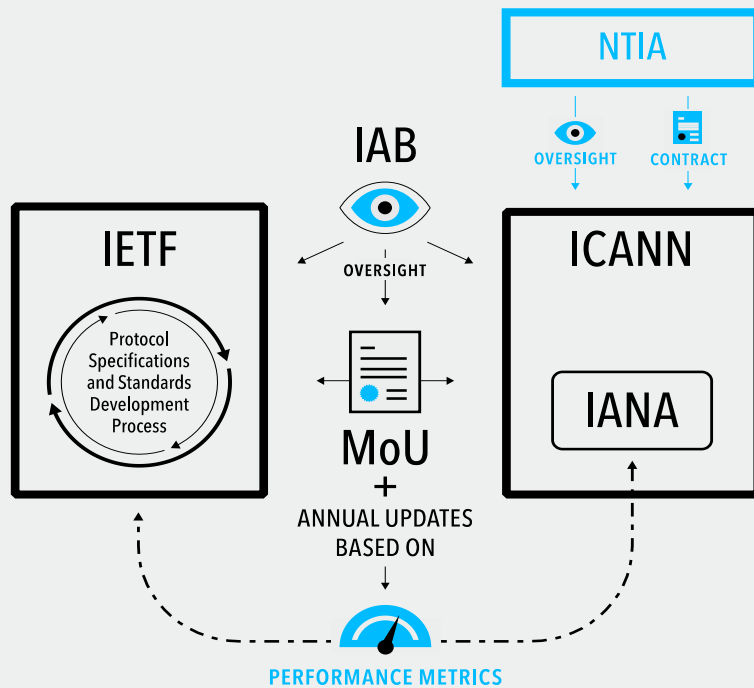
To drive the processes, the community created **multilayered**, **transparent** and **diverse** working groups to foster discussion and within those groups, has developed working methods and systems for determining consensus

At ICANN55 in Marrakech, March 2016, the IANA Stewardship Transition and Enhancing ICANN Accountability proposals were submitted to the ICANN Board.

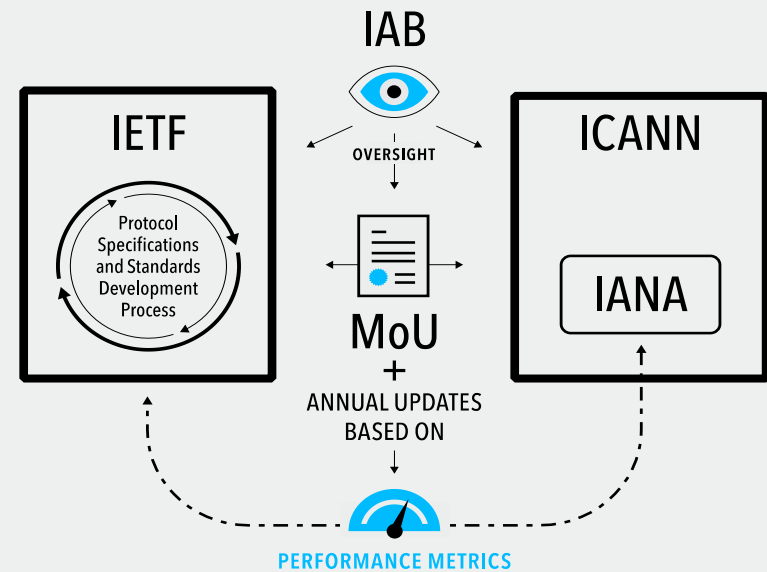
The package of proposals was transmitted to NTIA (USG) on 10 March 2016, almost exactly 2 years after the transition was announced. The transition was complete on 1 October 2017.

Protocol Parameters Community: Proposal Overview

Old Contract

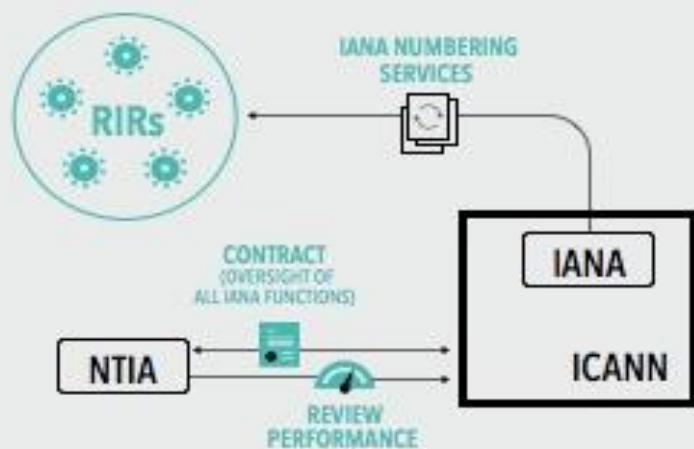


Post-transition

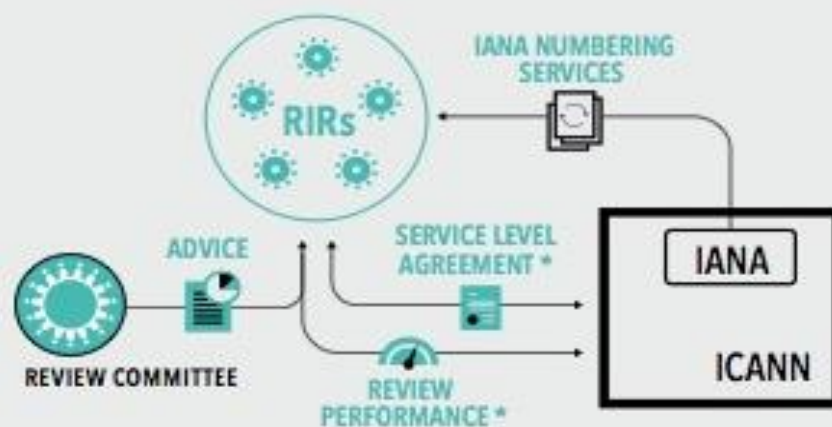


Numbering Resources Community: Proposal Overview

Old Contract



Post-transition

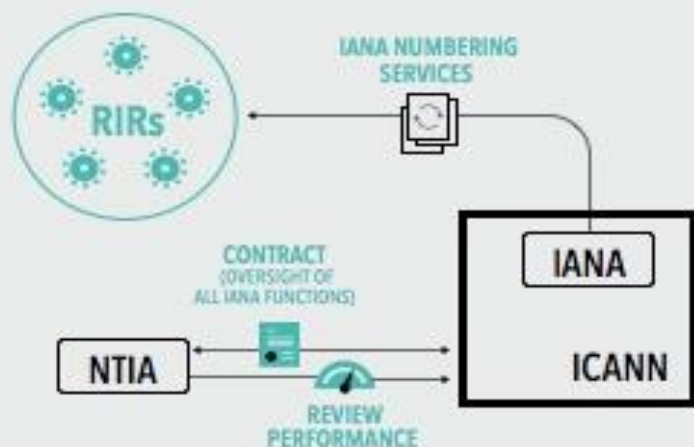


The RIRs have been very satisfied with the performance of ICANN in the role of the IANA Numbering Services Operator, and their communities have expressed a strong desire for stability and a minimum of operational change. The following proposals reflect these factors.

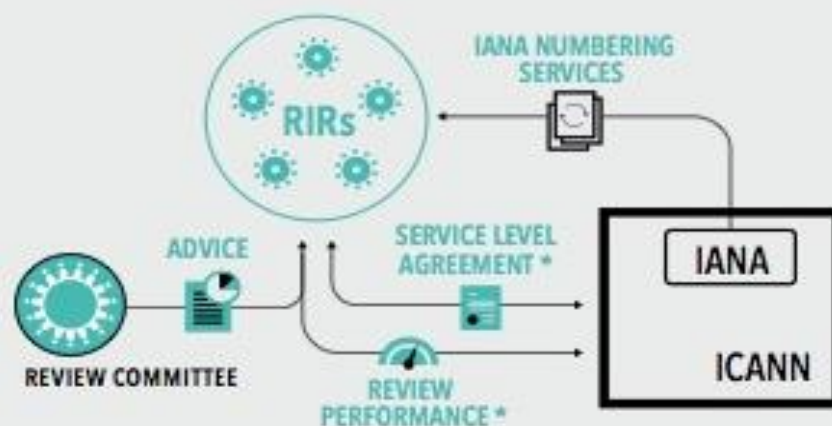
- 1 ICANN to continue as the IANA Functions Operator for the IANA Numbering Services via a Service Level Agreement (SLA) with the RIRs
- 2 The rights over any intellectual property related to provision of the IANA services should reside with the community
- 3 A Review Committee, with representatives from each RIR community, should be formed to advise the RIRs on the IANA functions operator's performance in meeting identified service levels

Numbering Resources Community: Proposal Overview

Old Contract



Post-transition

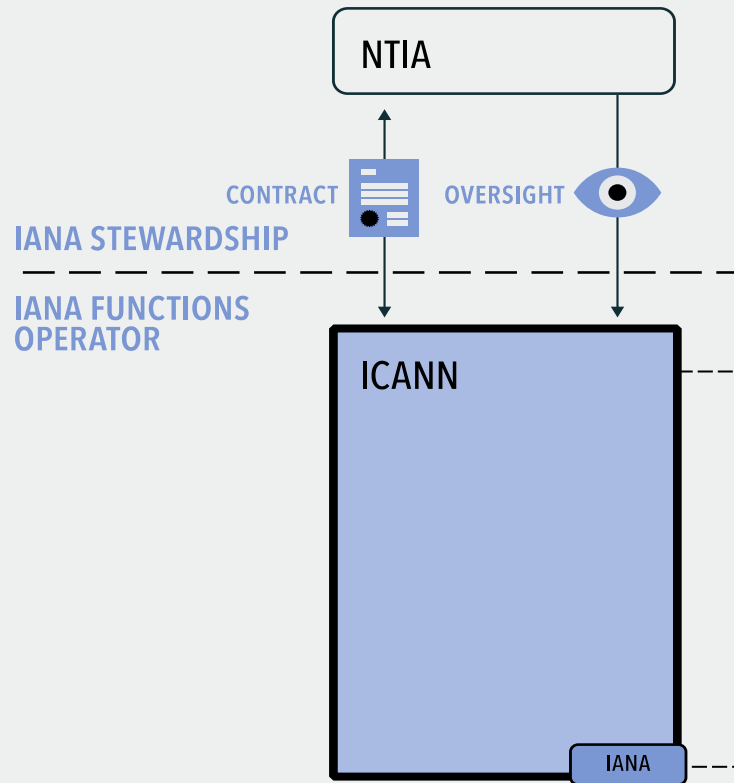


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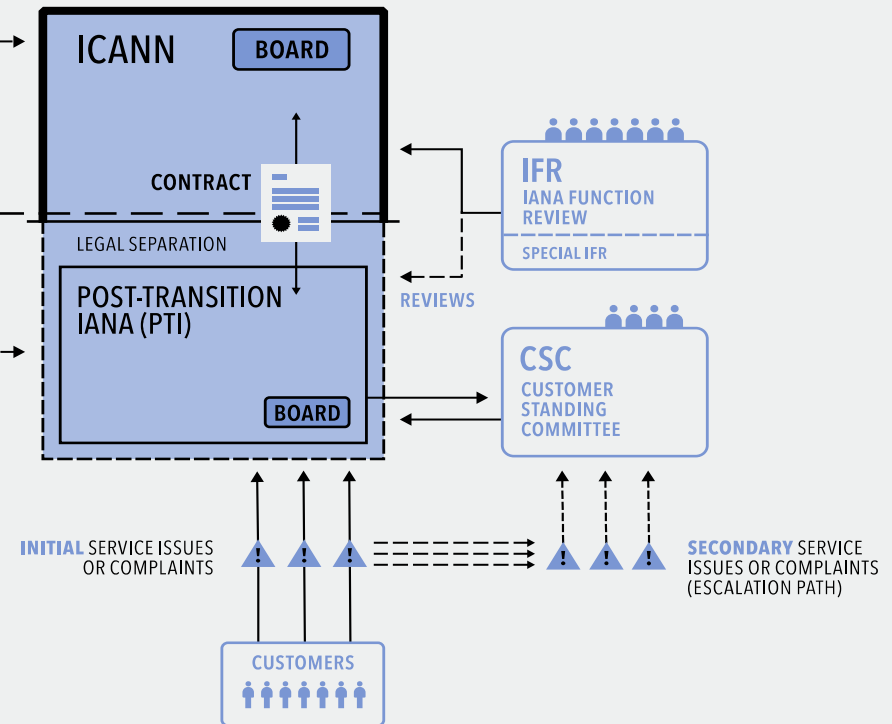
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Domain Names Community: Proposal Overview

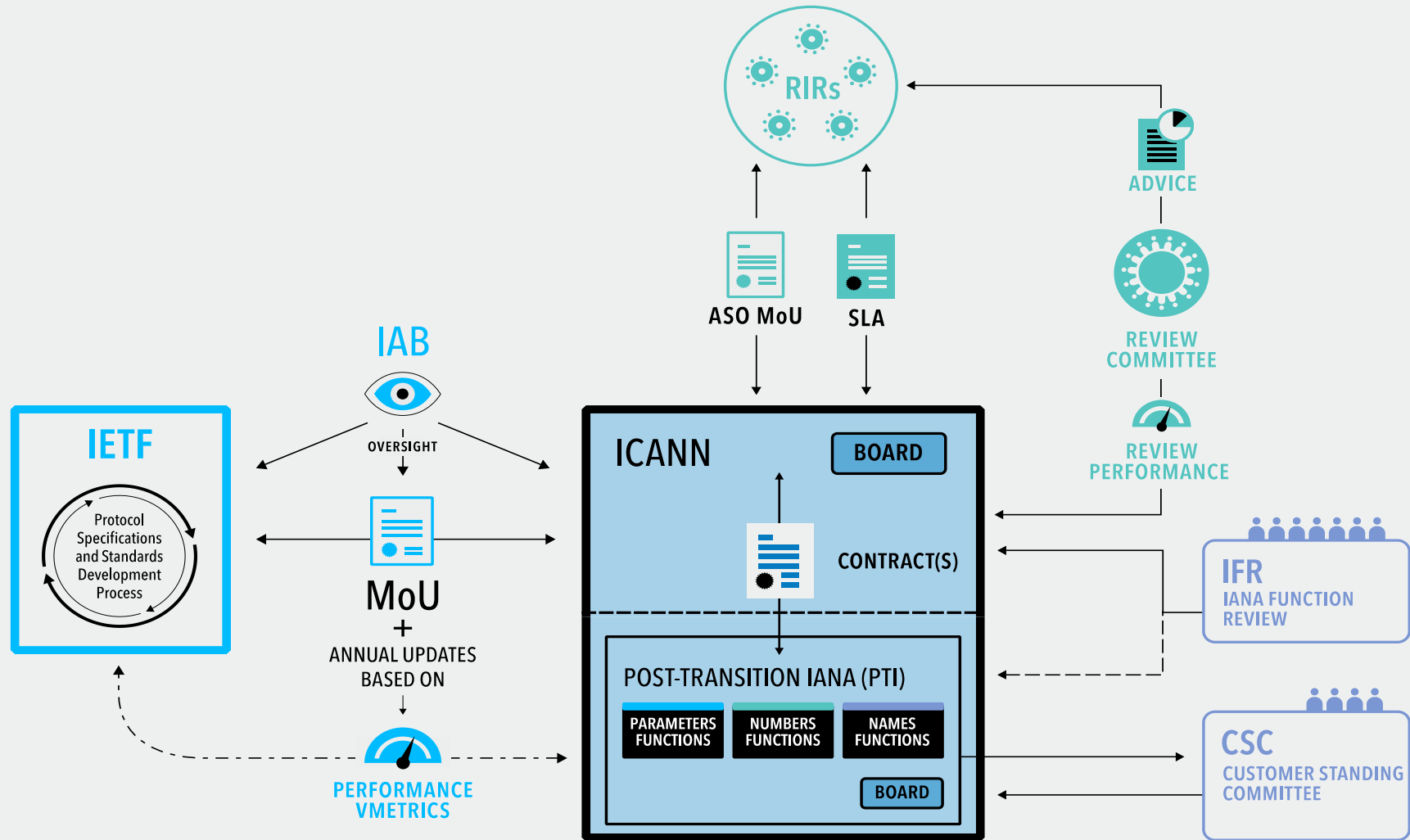
Old Contract



Post-transition



Combined Proposal Overview



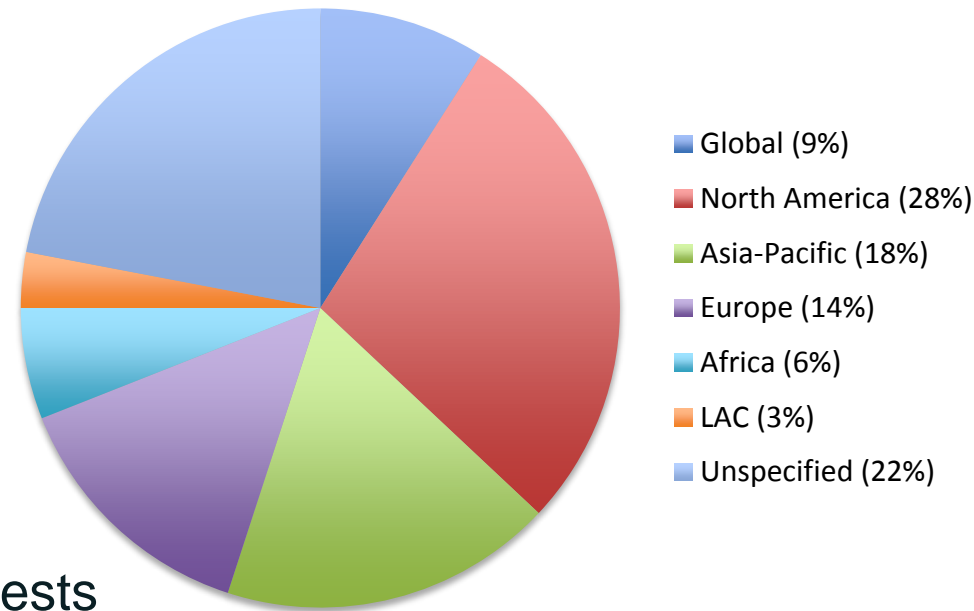
IANA Functions Contract Expires

- ⦿ **1 October 2016:** The contract between ICANN and the U.S. National Telecommunications and Information Administration (NTIA), to perform the IANA functions, officially expired.



An unprecedented multistakeholder effort

- Community efforts
 - 26,000 working hours (est.)
 - 33,000 mailing list messages
 - 600+ calls and meeting
 - More than 1,100 events worldwide
- Multistakeholder process
 - Including all communities and interests
 - Fully transparent processes
- Final Outcome
 - NTIA Finds IANA Stewardship Transition Proposal Meets Criteria to Complete Privatization – 9 June 2016
 - ICANN contract with NITA expired Midnight 30 September. Transition complete, 1 October 2016

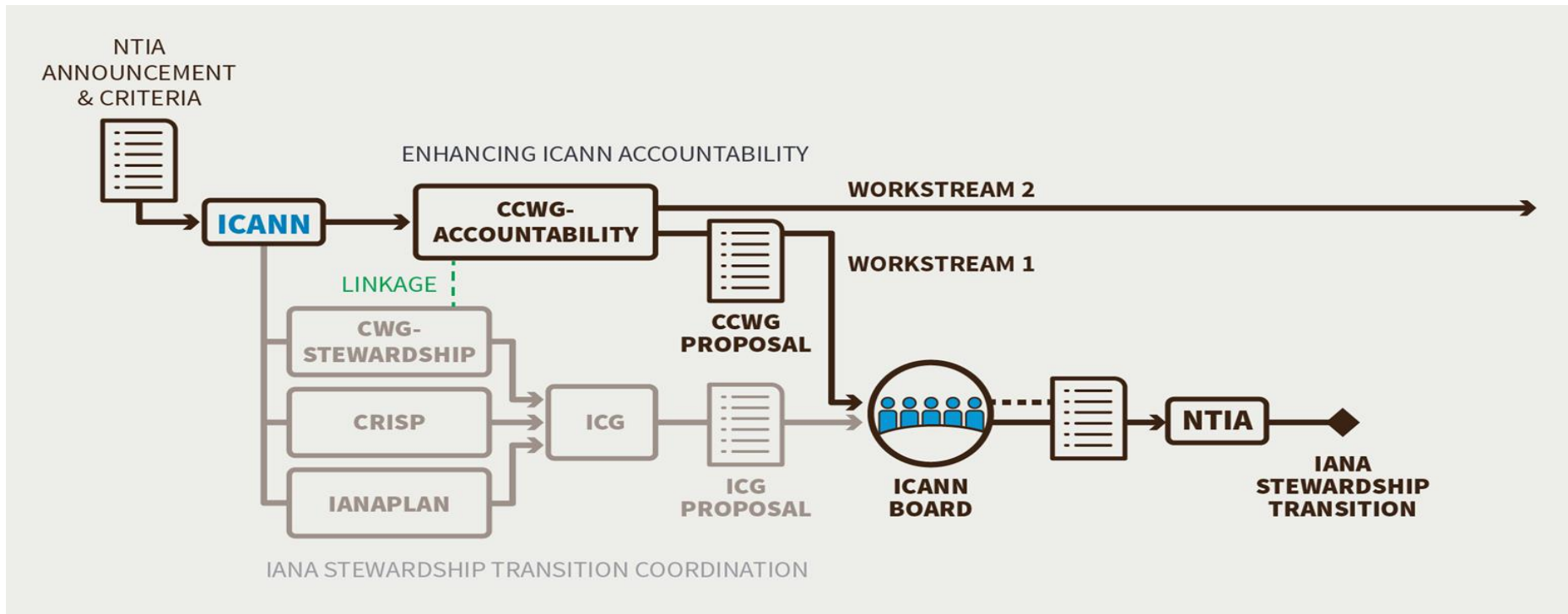




ENHANCING ICANN ACCOUNTABILITY

CCWG-Accountability

From December 2014 - March 2016, the CCWG-Accountability developed a set of proposed enhancements to ICANN's accountability to the global Internet community. This document was distributed for the consideration and approval of the working group's 6 Chartering Organizations.

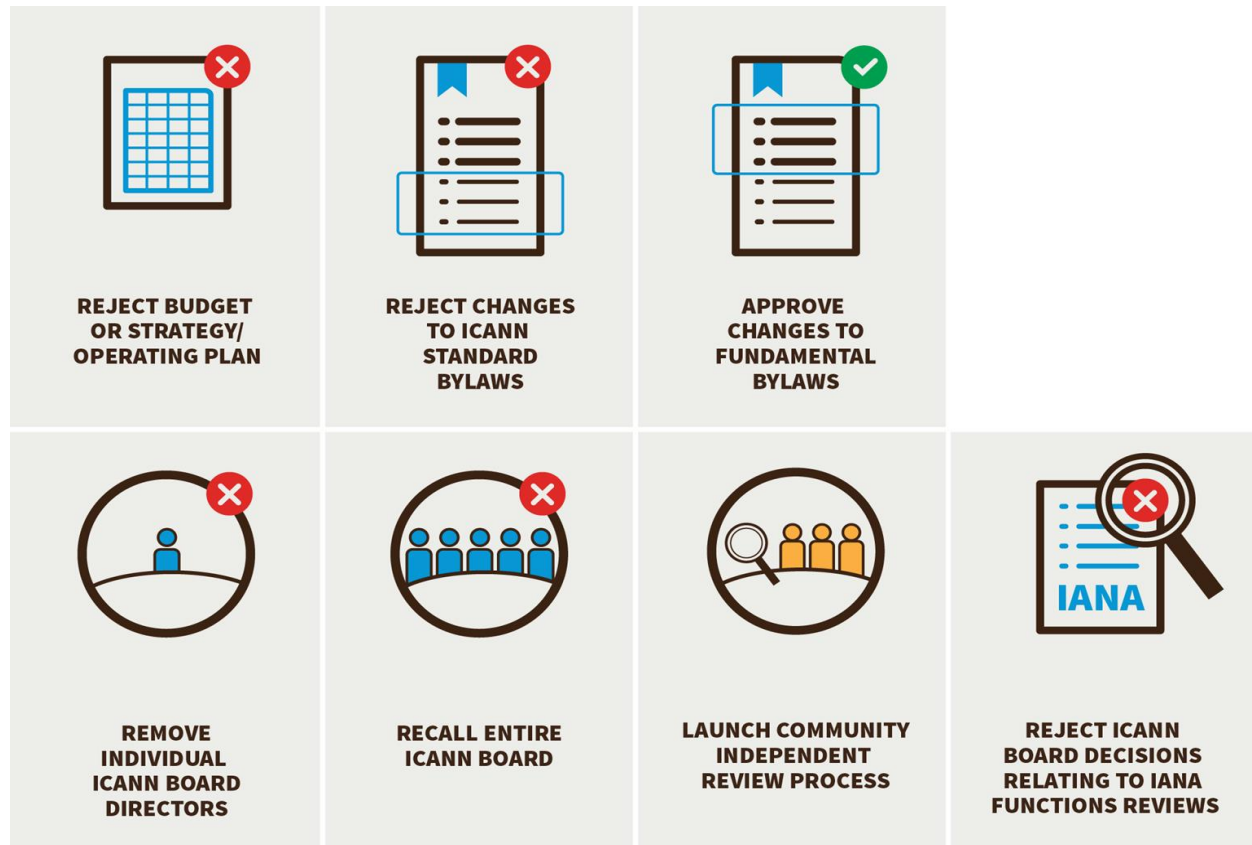


Twelve recommendations for the transition

1. **Sole Designator Model:** Establishing an Empowered Community for Enforcing Community Powers
2. **Escalation:** Empowering through Consensus: Engagement, Escalation, and Enforcement
3. **Changes to Bylaws:** Standard Bylaws, Fundamental Bylaws and Articles of Incorporation
4. **Seven New Community Powers** - Ensuring Community Involvement in ICANN Decision-making
5. **Mission:** Changing Aspects of ICANN's Mission, Commitments, and Core Values
6. **Human Rights:** Reaffirming ICANN's Commitment to Respect Internationally Recognized Human Rights as it Carries out its Mission
7. **IRP:** Strengthening ICANN's Independent Review Process
8. **Reconsideration:** Improving ICANN's Request for Reconsideration Process
9. **AoC:** Incorporating the Affirmation of Commitments in ICANN's Bylaws
10. **SO/AC Accountability:** Enhancing the Accountability of Supporting Organizations and Advisory Committees
11. **GAC Advice:** Board Obligations with Regard to Governmental Advisory Committee Advice *clarity; stability; expanded recourse ('judicial') system)*

Community Powers: strengthening the multistakeholder model

Seven Community Powers designed to empower the community to hold ICANN accountable for the organization's Principles (the Mission, Commitments, and Core Values).

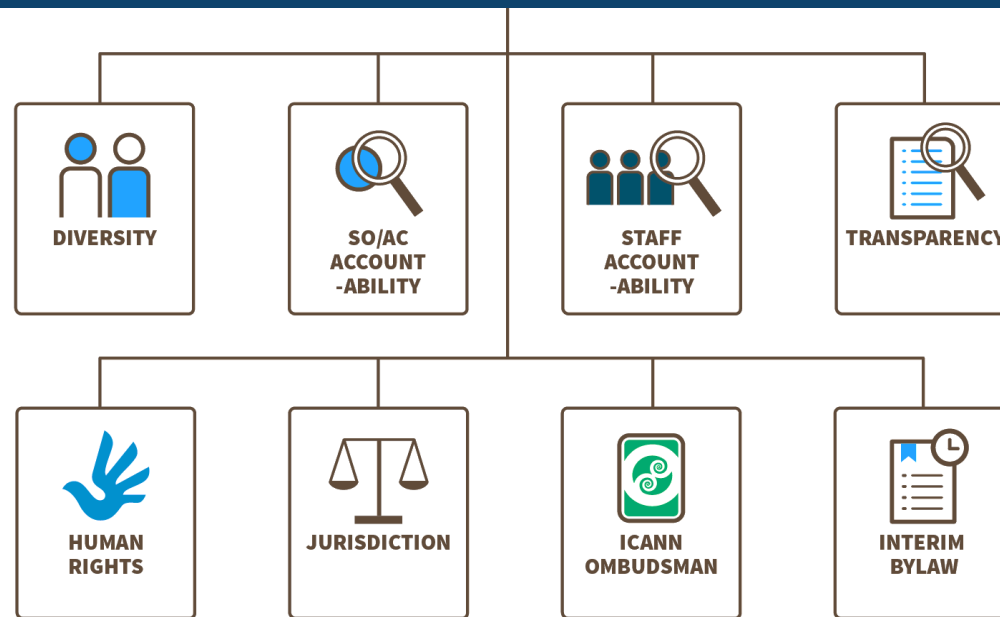


Work To-Do: Further Accountability Work in WS2

The CCWG-Accountability's work consists of two Work Streams:

WORK STREAM 1: Focused on mechanisms enhancing ICANN accountability that must be in place or committed to within the time frame of the IANA Stewardship Transition (**COMPLETED**)

WORK STREAM 2: Focused on addressing accountability topics for which a timeline for developing solutions and full implementation may extend beyond the IANA Stewardship Transition (**IN PROGRESS**)



Engage with ICANN civil society



Thank You and Questions

Reach us at

Email: civilsociety@icann.org

Website:

<https://www.icann.org/resources/pages/civil-society>

Workspace:

<https://community.icann.org/x/NhSOAhttp://community.icann.org/display/gsecivilsoc/Civil+Society+Home>

adam.peake@icann.org